

**LASA SUPERGENERICS LIMITED**  
**COMPLIANCE REPORT OF CORPORATE GOVERNANCE**  
**FOR THE QUARTER & YEAR ENDED ON MARCH 31, 2024**

I. Composition of Board of Director										
Title (Mr./Ms)	Name of the Director	PAN & DIN	DIN	Category (Chairperson /Executive /Non Executive/Independent / Nominee)	Date of Appointment in the current terms	Date of Cessation	Tenure *	Number of Directorship in Listed entities including this Listed entity	Number of Membership in Audit/Stakeholder Committee(s) included this listed entity	Number of Post of Chairpers on in Audit / Stakeholder Committee held in Listed entities included this listed entity
Mr.	Omkar Pravin Herlekar	ACCPH0802P	01587154	Chairman & Managing Director	24-09-2021	-	-	2	3	0
Mr.	Umesh Shanker Pawar	BOLPP1790J	10539778	Executive Director	07-09-1984	-	-	0	0	0
CA	Hardesh Raja Tolani	AIYPT7884P	07811319	Non-Executive-Independent Director	24-09-2021	-	71	1	1	1
CA	Ekta Gurnasingh	ALOPG0855E	07811337	Non-Executive-	24-09-2021	-	71	1	1	0

	ani			Independent Director						
Mr.	Ajay Hareshlal Sukhwani	BUCPS3 330L	0781 1551	Non- Executive- Independent Director	24-09- 2021	-	71	2	3	3
CA	Manali Roop Bhagtani	BQAPB1 806J	0806 7867	Non- Executive Independent Director	26-09- 2022	-	62	1	1	0

§ PAN Number of any Director would not be Displayed on the website of Stock Exchange.

& Category Of Director Means Executive/ Non Executive /Independent/Nominee. If a Director fits into more that one category write all categories separating them with hyphen.

\*To be filled only for independent Director. Tenure would mean total period from which Independent Director is serving on Board of Director of the Listed entity in continuity without any cooling off period.

## II Composition of Committee

Name Committee	Name of Committee Member	Category (Chairperson / Executive /Non- Executive / Independent / Nominee)&
1. Audit Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Omkar Herlekar	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Chairman and Managing Director - Member
2. Nomination Remuneration Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Ajay Sukhwani	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Non-Executive – Independent Director – Member

<p>3. Stakeholder Relationship Committee</p>	<p>Mr. Ajay Sukhwani Ms. Manali Bhagtani Mr. Omkar Herlekar</p>	<p>Non- Executive – Independent Director- Chairperson <u>Non- Executive – Independent Director</u> -Member Chairman and Managing Director - Member</p>
<p>4. Allotment Committee</p>	<p>Mr. Ajay Sukhwani Mr. Omkar Herlekar CA. Ekta Gurnasighani</p>	<p>Non- Executive – Independent Director- Chairperson Chairman and Managing Director – Member Non- Executive – Independent Director-<u>Member</u></p>
<p>5. CSR Committee</p>	<p>Mr. Ajay Sukhwani Mr. Omkar Herlekar Ms. Manali Bhagtani</p>	<p>Non- Executive – Independent Director- Chairperson Chairman and Managing Director – Member Non-Executive – Independent Director – Member</p>
<p>6. Enquiry Committee</p>	<p>Mr. Omkar Herlekar Mr. Ajay Sukhwani Ms. Manali Bhagtani</p>	<p>Chairman and Managing Director- Chairperson Non- Executive – Independent Director- Member Non- Executive – Independent Director- Member</p>
<p>7. Advisory Committee</p>	<p>Mr. Omkar Herlekar CA. Ekta Gurnasighani CA. Hardesh Tolani</p>	<p>Chairman and Managing Director- Chairperson Non-Executive – Independent Director – Member Non- Executive – Independent Director-<u>Member</u></p>
<p>8. Fund Raising Committee</p>	<p>Mr. Omkar Herlekar Ms. Manali Bhagtani CA. Hardesh Tolani</p>	<p>Chairman and Managing Director- Chairperson Non-Executive – Independent Director – Member Non- Non- Executive – Independent Director-<u>Member</u></p>
<p>Category of Director means Executive / Non Executive / Independent /Nominee. If a Director fits into more that one category write all categories separating them with hyphen.</p>		

III Meeting of Board of Directors		
Date(s) of Meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
10-11-2023	13-02-2024	94
	08-03-2024	23

IV Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter (Quarter ended	Maximum gap between any two consecutive meeting in number of days*
<b>Audit Committee</b>			
February 13, 2024	Yes (Requisite Quorum was present).	10-11-2023	94
<b>Nomination &amp; Remuneration Committee</b>			
08-03-2024	Yes (Requisite Quorum was present)	N.A	N.A
<b>CSR Committee</b>			

N.A		N.A	N.A
<b>Stakeholders Relationship Committee</b>			
N.A		N.A	N.A
<b>Advisory Committee</b>			
12-01-2024	Yes (Requisite Quorum was present)	18-10-2023	91
02-03-2024	Yes (Requisite Quorum was present)	N.A	50



**V. Related Party Transactions**

Subject	Compliance (Yes/NO/NA)
Whether Prior approval of audit Committee obtained	Yes
Whether Shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

**Note**

- In the column "Compliance status", Compliance or non-compliance may be indicated by Yes/No/N.A.  
For Example, if the Board has been composed in accordance with the requirement of Listing Regulation, "Yes" may be indicated. Similarly, in case the listed Entity has no related party transaction, the words "N.A" may be indicated.
- If status is "No" details of non-compliance may be given here.

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Date of the event	Brief details of the event	

## ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status (Yes/No/NA)	Company Remark	Website
<b>As per regulation 46(2) of the LODR:</b>			
<b>Details of business</b>	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Terms and conditions of appointment of independent	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Composition of various committees of board of directors	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Code of conduct of board of directors and senior	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Details of establishment of vigil mechanism/ Whistle Blower	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Criteria of making payments to non-executive directors	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Policy on dealing with related party transactions	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Policy for determining 'material' subsidiaries	Not Applicable		
Details of familiarization programs imparted to independent	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Email address for grievance redressal and other relevant	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
details entity who are responsible for assisting and handling			
Contact information of the designated officials of the listed	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Financial results	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>

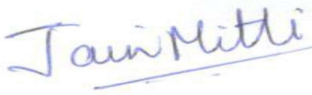



LASA SUPERGENERICS LIMITED

Shareholding pattern	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Details of agreements entered into with the media companies and/or their associates	NA		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Credit rating or revision in credit rating obtained by the	Not Applicable		
Separate audited financial statements of each subsidiary of	Not Applicable		
Secretarial Compliance Report	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Materiality Policy as per Regulation 30	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Disclosure of contact details of KMP who authorized for the purpose of determining materiality as per Reg 30 (5)	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Disclosures under Reg 30(8)	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Statement of deviation(s) or variation(s) as specified in Reg 32	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Annual return as provided under Section 92 of Companies Act, 2013	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Compliance with reg 46(3) with respect to accuracy of disclosures on website and timely updating	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
Dividend Distribution policy as per Regulation 43A (as	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>
It is certified that these contents on the website of the listed	Yes		<a href="https://lasalabs.com">https://lasalabs.com</a>



VI. Affirmation		
Sr. No.	Subject	Compliance Status (Yes/No)
1	The Composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulation, 2015	Yes
2	The Composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The Composition of the following committee is in terms of SEBI (Listing Obligation and disclosure requirement ) Regulation,2015 <b>b. Nomination &amp; Remuneration Committee</b>	Yes
4	The Composition of the following committee is in terms of SEBI (Listing obligation and disclosure requirement ) Regulation, 2015 <b>c. Stakeholder relationship Committee</b>	Yes
5	The Composition of the following committee is in terms of SEBI (Listing Obligation and disclosure requirements) Regulation, 2015 <b>d. Risk Management committee (application to the top 1000 listed entities)</b>	N.A
6	The committee members have been made aware of their power, role and responsibilities as specified in SEBI (Listing Obligation and disclosure requirements) Regulation, 2015.	Yes
7	The meeting of the board of directors and the above committee have been conducted in the manner as specified in SEBI (Listing Obligation and disclosure requirement) Regulation, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Director. Any Comments/observations /advice of Board of Director may be mentioned here:  No comments/observations /advice.	Yes

Signatory Details	
Name of Signatory	Mrs. Mitti Jain
Designation of person	Company Secretary and Compliance officer Membership No. A33681
Place	Mumbai
Date	31-03-2024.
Signature	 

**Annexure II**

**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	--
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	--
3	Meeting of Board of directors	17(2)	Yes	--
4	Quorum of Board meeting	17(2A)	Yes	--
5	Review of Compliance Reports	17(3)	Yes	--
6	Plans for orderly succession for appointments	17(4)	Yes	--
7	Code of Conduct	17(5)	Yes	--
8	Fees/compensation	17(6)	Yes	--
9	Minimum Information	17(7)	Yes	--
10	Compliance Certificate	17(8)	Yes	--
11	Risk Assessment & Management	17(9)	NA	--
12	Performance Evaluation of Independent Directors	17(10)	Yes	--
13	Recommendation of Board	17(11)	Yes	--
14	Maximum number of Directorships	17A	Yes	--
15	Composition of Audit Committee	18(1)	Yes	--
16	Meeting of Audit Committee	18(2)	Yes	--
17	Role of Audit Committee	18 (3)	Yes	-
18	Composition of nomination &	19(1) & (2)	Yes	--

	remuneration committee			
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	--
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	--
21	Role of Nomination and Remuneration Committee	19 (4)	Yes	-
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	--
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	--
24	Role of Stakeholders Relationship Committee	20 (4)	Yes	-
25	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	--
26	Meeting of Risk Management Committee	21(3A)	NA	--
27	Quorum of Risk Management Committee	21 (3B)	NA	
28	Gaps between Meeting Risk Management Committee	21 (3C)	NA	
29	Vigil Mechanism	22	Yes	--
30	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	--
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	--
32	Approval for material related party transactions	23(4)	Yes	--
33	Disclosure of related party transactions on consolidated basis	23(9)	NA	--
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	--
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	--

36	Annual Secretarial Compliance Report	24(A)	Yes	--
37	Alternate Director to Independent Director	25(1)	NA	--
38	Maximum Tenure	25(2)	Yes	--
39	Meeting of independent directors	25(3) & (4)	Yes	--
40	Familiarization of independent directors	25(7)	Yes	--
41	Declaration from Independent Director	25(8) & (9)	Yes	--
42	D & O Insurance for Independent Directors	25(10)	NA	--
43	Confirmation with respect to appointment of Independent Directors who resigned from listed entity	25(11)	NA	
44	Memberships in Committees	26(1)	Yes	--
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	--
46	Disclosure of Shareholding by Non-Executive Directors	26(4)	NA	--
47	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	--
48	Approval of the board and shareholders for compensation or profit sharing in connection with dealings in the securities of listed entities	26 (6)	NA	-
49	Vacancy in respect of KMP	26 A(1) & 26 A(2)	NA	

Signatory Details	
Name of Signatory	Mrs.Mitti Jain
Designation of person	Company Secretary and Compliance officer Membership No. <a href="#">A33681</a>
Place	Mumbai
Date	31-03-2024.
Signature	 

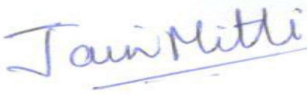

**Annexure II**

**III. Affirmations**

<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA



LASA

Signatory Details	
Name of Signatory	<a href="#">Mrs Mitti Jain</a>
Designation of person	Company Secretary and Compliance officer Membership No. <a href="#">A33681-</a>
Place	Mumbai
Date	31-03-2024
Signature	 



<b>Additional Half yearly Disclosure</b>			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	<u>Not Applicable</u>		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc. Refer note below</b>			
<b>(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
<b>Entity</b>	<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>	
Promoter or any other entity controlled by them	--	--	--
Promoter Group or any other entity controlled by them	--	--	--
Directors (including relatives) or any other entity controlled by them	--	--	--
KMPs or any other entity controlled by them	--	--	--
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months(taking into account any invocation)</b>
Promoter or any other entity controlled by them	--	--	--
Promoter Group or any other entity controlled	--	--	--



LASA SUPERGENERICS LIMITED

by them			
Directors (including relatives) or any other entity controlled by them	--	--	--
KMPs or any other entity controlled by them	--	--	--

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by**

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	--	--	--
Promoter Group or any other entity controlled by them	--	--	--
Directors (including relatives) or any other entity controlled by them	--	--	--
KMPs or any other entity controlled by them	--	--	--

**(D) Additional Information**

**II. Affirmations**

Affirmations	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key		



LASA SUPERGENERICS LIMITED

managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.			
Name	--	--	--
Designation	--	--	--
Place	--	--	--
Date	--	--	--

Signatory Details	
Name of Signatory	<a href="#">Mrs. Mitti Jain</a>
Designation of person	Company Secretary and Compliance officer Membership No. <a href="#">A33681</a>
Place	Mumbai
Date	31-03-2024
Signature	