



## LASA SUPERGENERICS LIMITED

CORPORATE OFFICE: B/207, City Point, Near Kohinoor Hotel, J B Nagar (Chakala)  
Metro Station, Andheri (E), Mumbai – 400059, Maharashtra, India.  
Tel: 022-4970 1092, Email: mail@lasalabs.com, Website: www.lasalabs.com

### LASA SUPERGENERICS LIMITED COMPLIANCE REPORT OF CORPORATE GOVERNANCE FOR THE QUARTER ENDED ON MARCH 31, 2020

I. Composition of Board of Director												
Title (Mr./Ms)	Name of the Director	PAN	DIN	Category (Chairperson /Executive /Non Executive/Independent /Nominee)	Date of Appointment in the current terms	Date of Reappointment	Date of Cessation	Tenure *	Number of Directors in Listed entities including this Listed entity	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulation)	Number of Membership in Audit/Stakeholder Committee(s) included this listed entity	Number of Post of Chairperson in Audit / Stakeholder Committee held in Listed entities included this listed entity
Mr.	Omkar Pravin Herlekar	ACCPH0802P	01587154	Chairman & Managing Director	11-03-2016	27-09-2019		49	1	0	1	0
Mr.	Shivan and Gajanan Hegde	AAAGPH6895J	00185508	Whole Time Director	02-05-2017	25-09-2018		35	1	0	1	0
Mr.	Mithun Mohan Jadhav	AQFPJ8470N	08181048	Whole Time Director	13-08-2018			20	1	0	1	0

CIN:L24233MH2016PLC274202

FACTORY & REGD. OFFICE: Plot No. C-105, MIDC, Mahad, Dist. Raigad, Maharashtra, India. Tel: +91-2145-232101/ 232202

			48									
CA	Hardesh Raja Tolani	AIYPT7884P	0781319	Non-Executive Independent Director	02-05-2017			35	1	1	0	1
CA	Ekta Gurnas inghani	ALOPGO855E	0781337	Non-Executive Independent Director	02-05-2017			35	1	1	1	0
CA	Manali Roop Bhagta ni	BQAPB1806J	08067867	Additional director Non-Executive Independent Director	12-02-2018			26	1	1	0	0
Mr.	Ajay Hareshl al Sukhwani	BUCP S333OL	081351	Non-Executive Independent Director	02-05-2017			35	1	1	0	1
<p>§ PAN Number of any Director would not be Displayed on the website of Stock Exchange.  &amp; Category Of Director Means Executive/ Non Executive /Independent/Nominee. If a Director fits into more that one category write all categories separating them with hyphen.</p> <p>*To be filled only for independent Director. Tenure would mean total period from which Independent Director is serving on Board of Director of the Listed entity in continuity without any cooling off period.</p>												

<b>Name Committee</b>	<b>Name of Committee Member</b>	<b>Category (Chairperson / Executive /Non- Executive / Independent / Nominee)&amp;</b>
1. Audit Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Omkar Herlekar	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Chairman and Managing Director - Member
2. Nomination Remuneration Committee	CA. Hardesh Tolani CA. Ekta Gurnasighani Mr. Ajay Sukhwani	Non- Executive – Independent Director- Chairperson Non-Executive – Independent Director – Member Non-Executive – Independent Director – Member
3. Stakeholder Relationship Committee	Mr. Ajay Sukhwani Mr. Mithun Jadhav Mr. Shivanand Hegde	Non- Executive – Independent Director- Chairperson Executive Director- Member Executive Director- Member
4. Allotment Committee	Mr. Omkar Herlekar Mr. Mithun Jadhav Mr. Shivanand Hegde	Chairman and Managing Director- Chairperson Executive Director- Member Executive Director- Member
5. CSR Committee	Mr. Ajay Sukhwani Mr. Omkar Herlekar Mr. Mithun Jadhav Ms. Manali Bhagtani	Non- Executive – Independent Director- Chairperson Chairman and Managing Director – Member Executive Director- Member Non-Executive – Independent Director – Member
6. Enquiry Committee	Mr. Omkar Herlekar Mr. Ajay Sukhwani Mr. Shivanand Hegde	Chairman and Managing Director- Chairperson Non- Executive – Independent Director- Chairperson Executive Director- Member
7. Advisory Committee	Mr. Omkar Herlekar Mr. Shivanand Hegde CA. Hardesh Tolani	Chairman and Managing Director- Chairperson Executive Director- Member Non- Executive – Independent Director- Chairperson
8. Fund Raising Committee	Mr. Omkar Herlekar Mr. Shivanand Hegde CA. Hardesh Tolani	Chairman and Managing Director- Chairperson Executive Director- Member Non- Executive – Independent Director- Chairperson
Category of Director means Executive / Non Executive / Independent /Nominee. If a Director fits into more than one category write all categories separating them with hyphen.		

<b>III Meeting of Board of Director</b>		
<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of meeting (if any) in the current quarter</b>	<b>Maximum gap between any two consecutive (in number of days)</b>
November 12, 2019	February 10, 2020 February 22, 2020 February 25, 2020	89 11 02

<b>IV Meeting of Committees</b>			
<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of Quorum met (details)</b>	<b>Date(s) of meeting of the committee in the previous quarter (Quarter ended</b>	<b>Maximum gap between any two consecutive meeting in number of days*</b>
<b>Audit Committee</b>			
February 10, 2020 February 22, 2020 February 25, 2020	Yes (Requisite Quorum was present)	November 12, 2019	89 11 02
<b>Nomination &amp; Remuneration Committee</b>			
NA	Yes (Requisite Quorum was present)	NA	N.A
<b>Stakeholder Relationship Committee</b>			
February 10, 2020	Yes (Requisite Quorum was present)	NA	
<b>CSR Committee</b>			
NA	Yes (Requisite Quorum was present)	N.A	N.A
<b>Allotment Committee</b>			
November 30, 2019	Yes (Requisite Quorum was present)	NA	NA

<b>V. Related Party Transactions</b>	
Subject	Compliance (Yes/NO/NA)
Whether Prior approval of audit Committee obtained	Yes
Whether Shareholder approval obtained for material RPT	N.A
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

**Note**

1. In the column "Compliance status", Compliance or non-compliance may be indicated by Yes/No/N.A.  
For Example, if the Board has been composed in accordance with the requirement of Listing Regulation, "Yes" may be indicated. Similarly, in case the listed Entity has no related party transaction, the words "N.A" may be indicated.

2. If status is "No" details of non-compliance may be given here.

## Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

### I. Disclosure on website in terms of Listing Regulations

Sr. No	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.lasalabs.com
2	Terms and conditions of appointment of independent directors	Yes		www.lasalabs.com
3	Composition of various committees of board of directors	Yes		www.lasalabs.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.lasalabs.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.lasalabs.com
6	Criteria of making payments to non-executive directors	NA		
7	Policy on dealing with related party transactions	Yes		www.lasalabs.com
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.lasalabs.com
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.lasalabs.com
11	email address for grievance redressal and other relevant details	Yes		www.lasalabs.com
12	Financial results	Yes		www.lasalabs.com
13	Shareholding pattern	Yes		www.lasalabs.com

14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.lasalabs.com
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.lasalabs.com
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.lasalabs.com
21	Materiality Policy as per Regulation 30	Yes		www.lasalabs.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.lasalabs.com
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]			



Annexure 1		
VI. Affirmation		
Sr. No	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 500 listed entities)</b>	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	

Annexure 1		
Sr.No	Subject	Compliance status
1	Name of Signatory	Nidhi Kulshrestha
2	Designation	Company Secretary and Compliance Officer

## Annexure II

### II. Annual Affirmations

Sr . No	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non- compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	NA	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	

23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

Annexure II		
1	Name of signatory	Nidhi Kulshrestha
2	Designation	Company Secretary and Compliance Officer

<b>Annexure II</b>		
<b>III. Affirmations</b>		
<b>Sr. No</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA

<b>Annexure II</b>		
1	Name of signatory	Nidhi Kulshrestha
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of Signatory	Nidhi Kulshrestha
Designation of Person	Company Secretary and Compliance Officer
Place	Mumbai
Date	13-05-2020